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101586304<sup>1204.46402X00</sup>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: ISHII, et al.

Serial No.:

Not Yet Assigned

Filed:

July 14, 2006

Title:

Negative Electrode for Lithium Secondary Battery and Lithium Secondary

Battery

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 & 1.98**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

July 14, 2006

Sir:

In the matter of the above-identified application, applicants are submitting herewith a copy of the documents listed in the attached form equivalent to Form(s) PTO/SB/08A and/or PTO/SB/08B for the Examiner's consideration.

This information disclosure statement is being submitted with the new application.

To the extent that the documents listed on the attached form equivalent to Form(s) PTO/SB/08A and/or PTO/SB/08B are not in the English language, the requirement of 37 CFR 1.98(a)(3) for a concise explanation of the relevance is satisfied by an English Language abstract.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

301785304 14JUL 2005

Please charge any shortage in the fees due in connection with the filing of this paper, including excess claim fees, to Deposit Account No. 01-2135 (1204.46402X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

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14 JUL 2006

PTO/SB/08A (08-03)

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known= \( \tau\_{\tau} = \( \tau\_{\tau} = \tau\_{\tau} \) Substitute for form 1449A/PTO **Application Number** INFORMATION DISCLOSURE Filing Date July 14, 2006 STATEMENT BY APPLICANT First Named Inventor Yoshito ISHII et al. Art Unit luco ac many choote ac no **Examiner Name** Sheet of **Attorney Docket Number** 1204.46402X00

U.S. PATENT DOCUMENTS									
Examiner Initials'	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant				
		US-			Figures Appear				
		US-			-				
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FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures	T <sup>6</sup>		
Initials'	No. <sup>1</sup>	Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Appear	<u>'</u>		
		JP 2003-197182	07/11/2003	Samsung SDI Co. Ltd.	,	Abs		
		JP 2002-175807	06/21/2002	GS-Melcotec Co. Ltd.		Abs		
		JP 11-217266	08/10/1999	Hitachi Chem. Co. Ltd.		Abs		
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		JP 05-290833	11/05/1993	Matsushita Electric Ind.		Abs		
		JP 2002-222648	08/09/2002	Toshiba Corp.		Abs		
		JP 11-288716	10/19/1999	Hitachi Maxell Ltd.		Abs		
		JP 57-208079	12/21/1982	Sanyo Electric Co. Ltd.		Abs		
		JP 2000-260479	09/22/2000	Toyota Central Res. &		Abs		

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing his burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.